

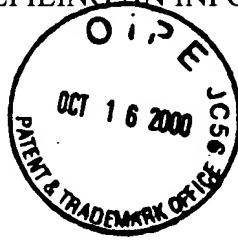
ATTORNEY DOCKET NO.: 2001323-0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roche, et al.
Serial Number: 09/559,223
Filed: April 26, 2000
For: SYSTEM FOR FULFILING AN INFORMATION NEED

Commissioner for Patents
Washington, D.C. 20231

Sir:



APPOINTMENT OF ATTORNEY

The undersigned hereby appoints C. Hunter Baker, Registration No. P-46,533; Monica R. Gerber, Registration No. P-46,724; Brenda Herschbach Jarrell, Registration No. 39,223; Stanley C. Mah, Registration No. 46,189; Elizabeth Nugent, Registration No. 43,839; Sam Pasternack, Registration No. 29,576; Valarie B. Rosen, Registration No. 45,698; Karoline K. Shair, Registration No. 44,332 and Kevin M. Tormey, Registration No. 41,351 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to Monica R. Gerber, M.D., Ph.D., Choate, Hall & Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,

Name: Yves Schabes

Title: President

On behalf of Global Information
Research and Technologies LLC

Dated: September ____, 2000

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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(f) and 1.27(c)
SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official empowered to act on behalf of the small business concern identified

below:

NAME OF CONCERN: Global Information Research and Technologies LLC
ADDRESS OF CONCERN: 236 Huntington Avenue, Boston, MA 02115-4701

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention titled:

TITLE: System For Fulfilling an Information Need

by:

INVENTORS: Emmanuel Roche and Yves Schabes



described in:

- ☐ the specification filed herewith
☒ U.S. Patent Application Number 09/559,223; filed April 26, 2000.
☐ U.S. Patent Number _____ issued

If the rights of the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor(s), who could not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention, averring to their status as small entities. (37 CFR 1.27).


FULL NAME: _____
ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Yves Schabes
TITLE IN ORGANIZATION: President
ADDRESS OF PERSON SIGNING Global Information Research and Technologies LLC
236 Huntington Avenue, Boston, MA 02115-4701

SIGNATURE:  DATE: 9/11/00

ATTORNEY DOCKET NO. 2001323-0009

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Emmanuel Roche** of Boston, MA; **Yves Schabes** of Boston, MA hereby

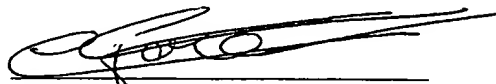
Sell, assign and transfer to **Global Information Research and Technologies, LLC** having a place of business at **236 Huntington Avenue, Boston, MA 02115-4701** its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed April 26, 2000, Serial No. 09/559,223 entitled "**SYSTEM FOR FULFILLING AN INFORMATION NEED**" and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

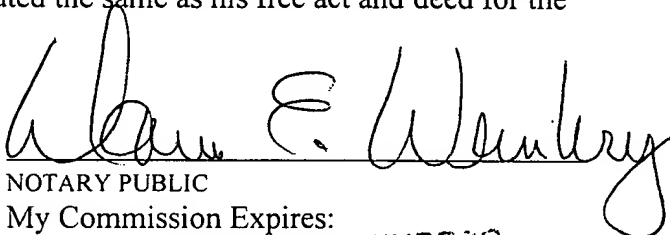
Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

IN WITNESS WHEREOF, I hereto set my hand and seal at Boston,
MASSACHUSETTS this 20 day of September, 2000.


Emmanuel Roche

STATE OF MASSACHUSETTS
COUNTY OF Suffolk SS.


Before me this 20th day of SEPTEMBER 2000, personally appeared
Emmanuel Roche known to me to be the person whose name of subscribed to the foregoing
Assignment and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.


NOTARY PUBLIC
My Commission Expires:

[Notary's Seal Here]

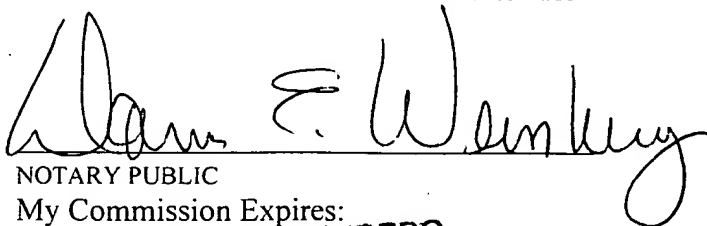
DONNA E. WEINBERG
NOTARY PUBLIC
MY COMMISSION EXPIRES SEPT. 22, 2008

IN WITNESS WHEREOF, I hereto set my hand and seal at Boston,
MASSACHUSETTS this 20 day of September, 2000.


Yves Schabes

STATE OF MASSACHUSETTS
COUNTY OF Suffolk SS.

Before me this 20th day of SEPTEMBER 2000, personally appeared
Yves Schabes known to me to be the person whose name of subscribed to the foregoing
Assignment and acknowledged that he executed the same as his free act and deed for the
purposes therein contained.


NOTARY PUBLIC
My Commission Expires:

[Notary's Seal Here]
Exchange.3118785.1

DONNA E. WEINBERG
NOTARY PUBLIC
MY COMMISSION EXPIRES SEPT. 22, 2008

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ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest, **Global Information Research and Technologies, LLC**, hereby seeks to take action in the Patent and Trademark Office in the above-referenced matter.

Ownership by the assignee is established as follows:

_____ documents already recorded in the PTO on _____

Reel _____ Frame _____ ; and

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.

Signature of Authorized Person

Date:

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RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Emmanuel Roche and Yves Schabes

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

Name and address of receiving party(ies)

Name: **Global Information Research and Technologies, LLC**

Internal Address:

Street Address: **236 Huntington Avenue**

City: **Boston** State: **MA** ZIP: **02115-4701**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **September 20, 2000**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No.(s)

09/559,223

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Monica R. Gerber**

Internal Address: **Choate, Hall & Stewart**

Street Address: **Exchange Place
53 State Street**

City: **Boston** State: **MA** ZIP: **02109**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) **\$40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: **03-1721**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Monica R. Gerber

Name of Person Signing

Reg. No. **46,724**

Monice R. Gerber

Signature

10/10/00

Date

Total number of pages including cover sheet, attachments, and document: **6**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231